2024 DevOps Tec. x SIEMENS POLARION

How to integrate ASPICE, Cybersecurity and Functional safety into your process architecture

Kevin Huang , May 17th 2024

DEKRA Kevin Huang

合辦單位： DEKRA SIEMENS 敦新科技 DAWNING TECHNOLOGY INC.

Kevin Huang 黃浩鈿

Qualifications:

- DEKRA Functional Safety Assessor (under **Dakks** accreditation)
- DEKRA Professional Functional Safety Engineer Automotive Lecturer
- DEKRA Professional Functional Safety Engineer Process-Industry Lecturer
- DEKRA Professional Cyber-Security Engineer Automotive Lecturer
- intacs Principal Assessor for Automotive SPICE
- VDA QMC ACS Expert in Automotive Cyber Security Management System Audits Lecturer
- VDA Automotive Software Essentials Lecturer

Experience:

- International projects among Germany, Netherland, Sweden, Belgium, US, Japan, Korea, China, Taiwan, Malaysia, Singapore, Thailand area
- Training of Functional Safety and Automotive SPICE at international OEMs and Suppliers over **1,000** employees
- Assessment, Consultancy, Workshops, Training on **Functional Safety/ Automotive SPICE**, **as client below:**

DAIMLER HONDA BAIC HAITEC inalfa Continental ABB Schneider Electric FOXCONN WNC 经纬恒润 HIWIN ITRI MEDIATEK REALTEK intel tobii
ASE GROUP CMC KOSO SPIL LITEON USI DELTA CMC SAIC HARMAN Super PowerTrain MAGNA Inventec VISION
AUO PEGATRON UTAC GARMIN TTE LCKT E-LEAD INNOLUX faurecia FocalTech SOC ESMT JET OPTO

SOTIF (ISO 21448:2022) 預期功能安全

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01

Trend of Automotive

Trend of Automotive?

Automotive industry products **must comply with a variety of technical standards**, depending on their purpose. These products should also comply with fundamental standards, such as quality, process, safety, and cybersecurity.

Standard	Definition	Automotive Field
A-SPICE	是汽車產業的軟體流程改進和能力評定標準，目前盛行於車廠對供應商進行 軟件開發過程評估。	SW Process
ISO 26262	功能安全面對的是汽車自己的系統，主要是對內的，要解決的是自己內在系統失效和隨機失效	Functional Safety
ISO 21448	預期功能安全則是應汽車智慧化趨勢而生，彌補了功能安全在AI領域、自動駕駛領域的不足，覆蓋了自動駕駛等級L1-5。它更多面對的是性能失效、系統預期功能不足和人員誤操作。這就開始向外延伸，更多地涉及到汽車與環境的交互，汽車和駕駛操作人員的交互	Safety Of The Intended Functionality
ISO/SAE 21434	網路安全則不是因“系統”而生，而是因“人”而生，面向的是來自於外部環境、外來惡意者甚至內在惡意者的威脅（Threat），加強的手段有全狀態防火牆、對稱非對稱加密、金鑰管理和入侵防禦和檢測系統等	Cybersecurity

Trend of Automotive?

In 2021, the National Highway and Traffic Safety Administration essentially reopened a 2017 investigation into the continuing and numerous fire incidents involving electric vehicles. Amongst other aspects, the investigation will include the roles of battery management systems, operating systems, system diagnostics, failure prognostics, cybersecurity and overall intervention; all of which are driven by software.

Subsequently, the marketplace has changed significantly. Insurance underwriters have noted the risks of functional safety and cybersecurity

"The Product Liability Insurance market has climbed significantly in the past few years. "Due to a lack of historical data on many of these providers of electric and/or autonomous systems and software, underwriters cannot easily extrapolate as to the risk of insuring a company."

"Safe Software"

- What is your **functional safety level** ?
- What is your **Automotive SPICE capability** ?
- What is your **cybersecurity level** ?

02

Automotive Security Motivation

Connected Vehicles

Vehicles are getting more and more connected to the world by different communication channels

Vehicle systems need:

- Secured access by authorized parties
- Secured data for driver assistance or autonomous driving systems
- Data integrity
- Protection against misuse or manipulation

Safety and Security Correlation in Automotive

Safety protects humans and environment from the machines, and security protects machines from maliciously acting humans

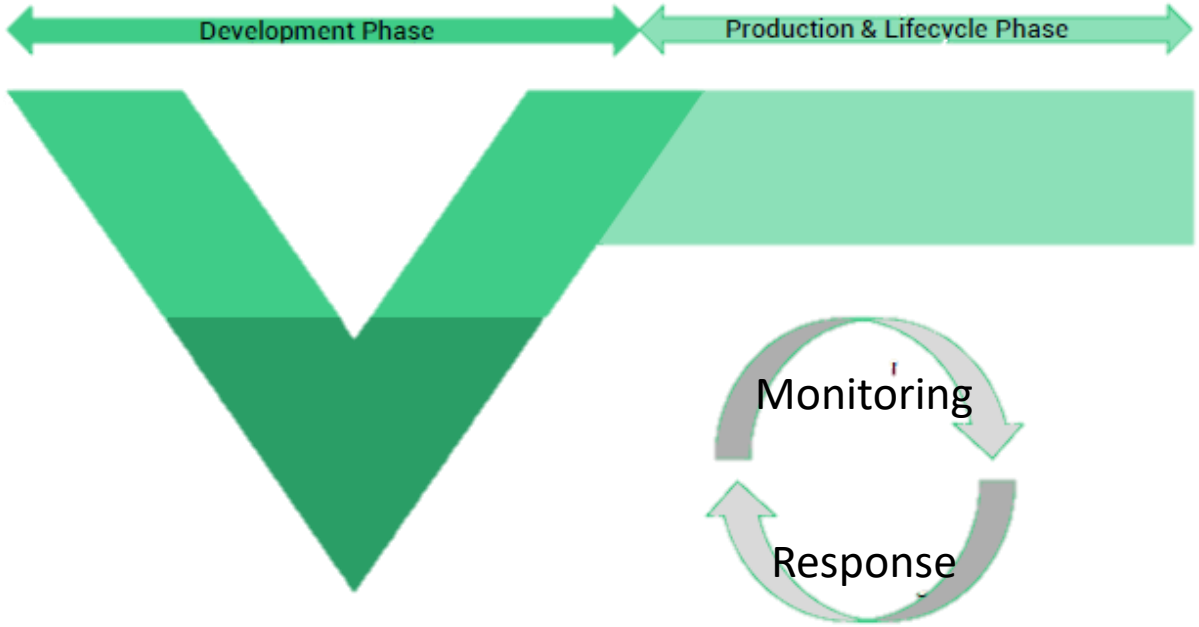
- A **cyber attack** on the car's safety functions may result in the change of control parameters or the deactivation of some sensor signals.
- **Human safety** may be put at risk.
- As a result, **cybersecurity and functional safety must be considered in parallel**.

03

Automotive Security Challenges

Cybersecurity Cannot be Guaranteed!

- Principle of risk minimization
- “Secure" technologies
- Additional protective measures
- Cybersecurity test strategy penetration testing, vulnerability scan, fuzzing
- "Mature organization" for development, production, operation, maintenance and repair
- Continuous market and product monitoring, incident detection and response
- Extended V-model

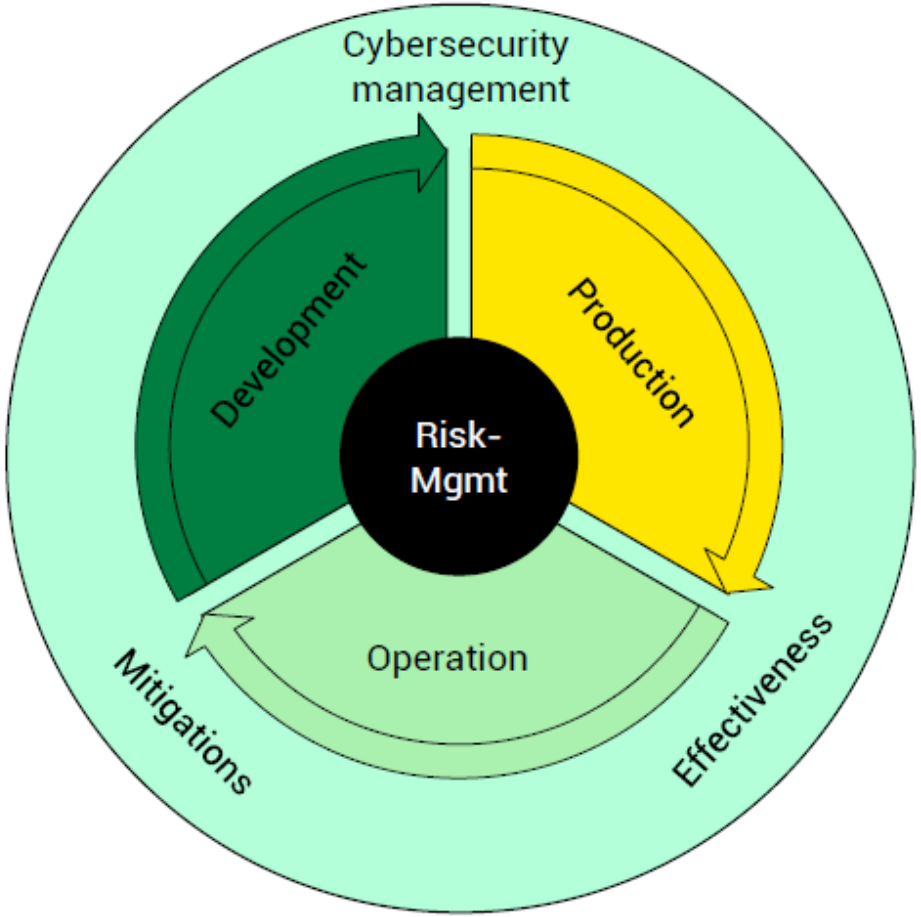


Risk Based Approach

- Identification of assets
- Identification of threats and attack paths
- Analysis of vulnerabilities
- Risk determination

Cybersecurity Management

- Manage risks and change of risks
- Define mitigations to minimize risks
- Observe the remaining risks by monitoring product and environment
- Detect and identify new threats / new vulnerabilities
- Define countermeasures to reduce risks
- Implement & test CS solutions
- Rollout CS solutions into the products
- Cyclic process, valid for the whole product life cycle



04

Introduction to Automotives Security Standards And UNECE Regulation

Drivers for Automotive CS Unification since ~2015

- SAE -Society of Automotive Engineers
- NHTSA -National Highway Traffic Safety Administration
- ENISA -European Union Agency for Network and Information Security
- European Commission -Cybersecurity Act
- ISO International Standardization Organization
- ISO/SAE 21434 “Road vehicles -Cybersecurity engineering”
- ISO/DIS 24089 “Road vehicles -Software update engineering”
- ISO/PAS 5112 “Road vehicles -Guidelines for auditing cybersecurity engineering”
- UN World Forum for Vehicle Regulation, Task Force on Cybersecurity and OTA
- Regulation UN ECE R155 “Cybersecurity”
- Regulation UN ECE R156 “Software update” (including Over-The-Air, OTA)
- VDA-QMC Redbook -Auditing a CSMS

UNECE R155: Cybersecurity and Cybersecurity Management System

Regulation for the OEM

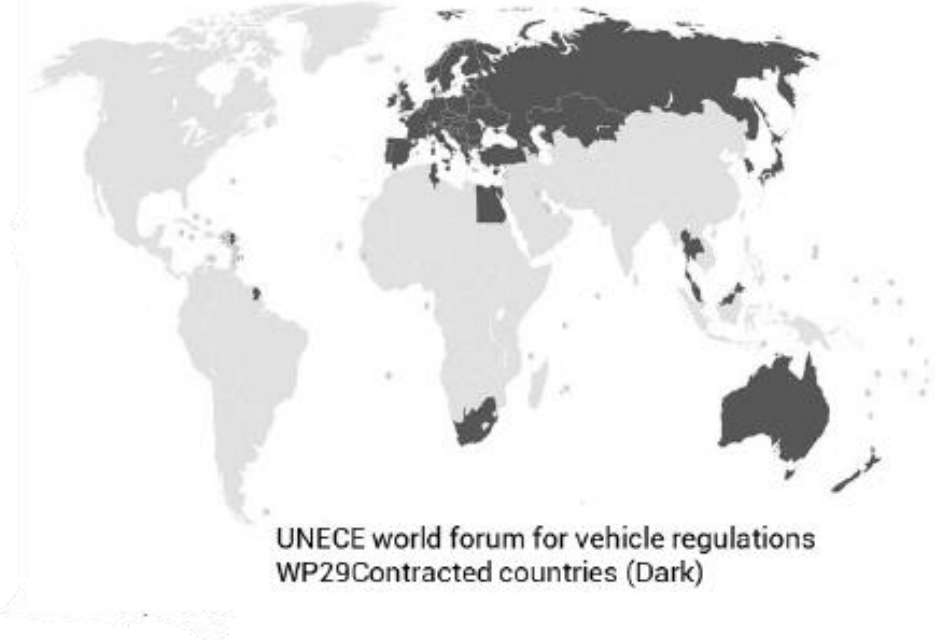
- Concerned are vehicles of categories M, N, O (if equipped with at least one ECU), L6 and L7 if equipped with ADAS level 3 or higher.

Part 1:

- Each OEM must establish and maintain a Cyber Security Management System (CSMS)
- for organizational processes, responsibilities, and governance
- to treat risk from cyber threats to vehicles andto protect vehicles from cyber attacks
- which includes complete lifecycle of a car
- and which must be certified as a precondition for futuretype approval.

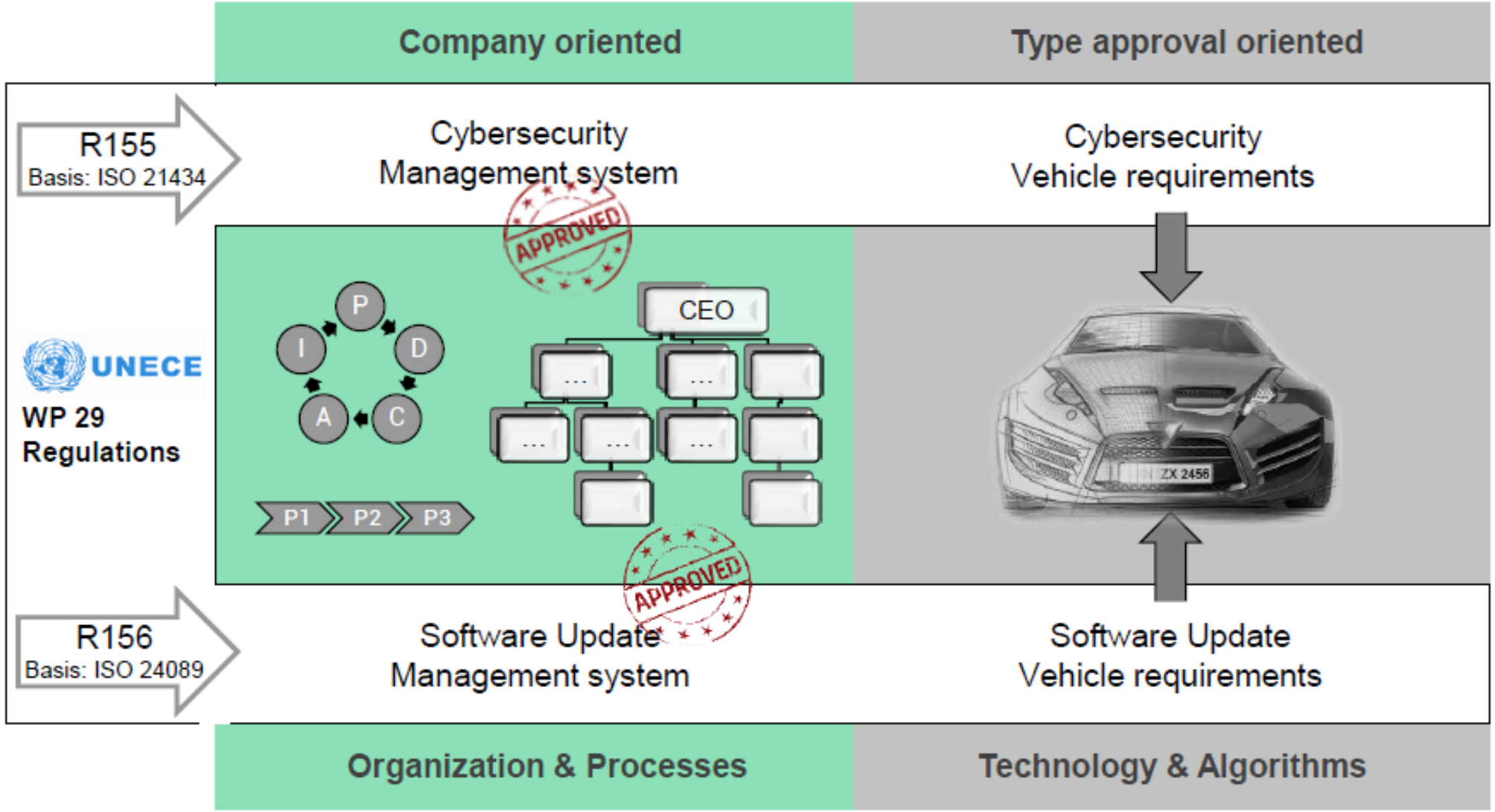
Part 2:

- Each OEM must identify vehicle technology-related risks and to protect the vehicle against them.
- This must be demonstrated at type approval.



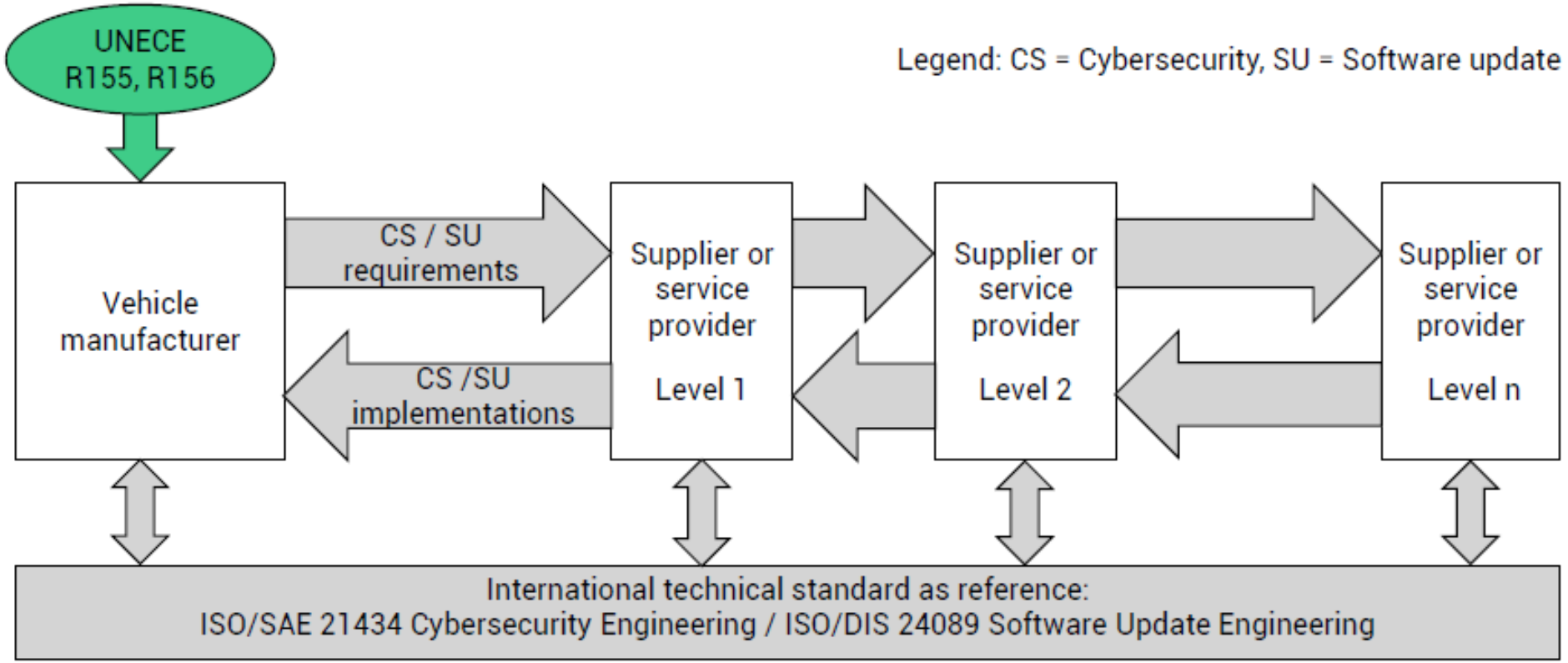
UNECE world forum for vehicle regulations
WP29Contracted countries (Dark)

UNECE R156: Software Update and Software Update Management

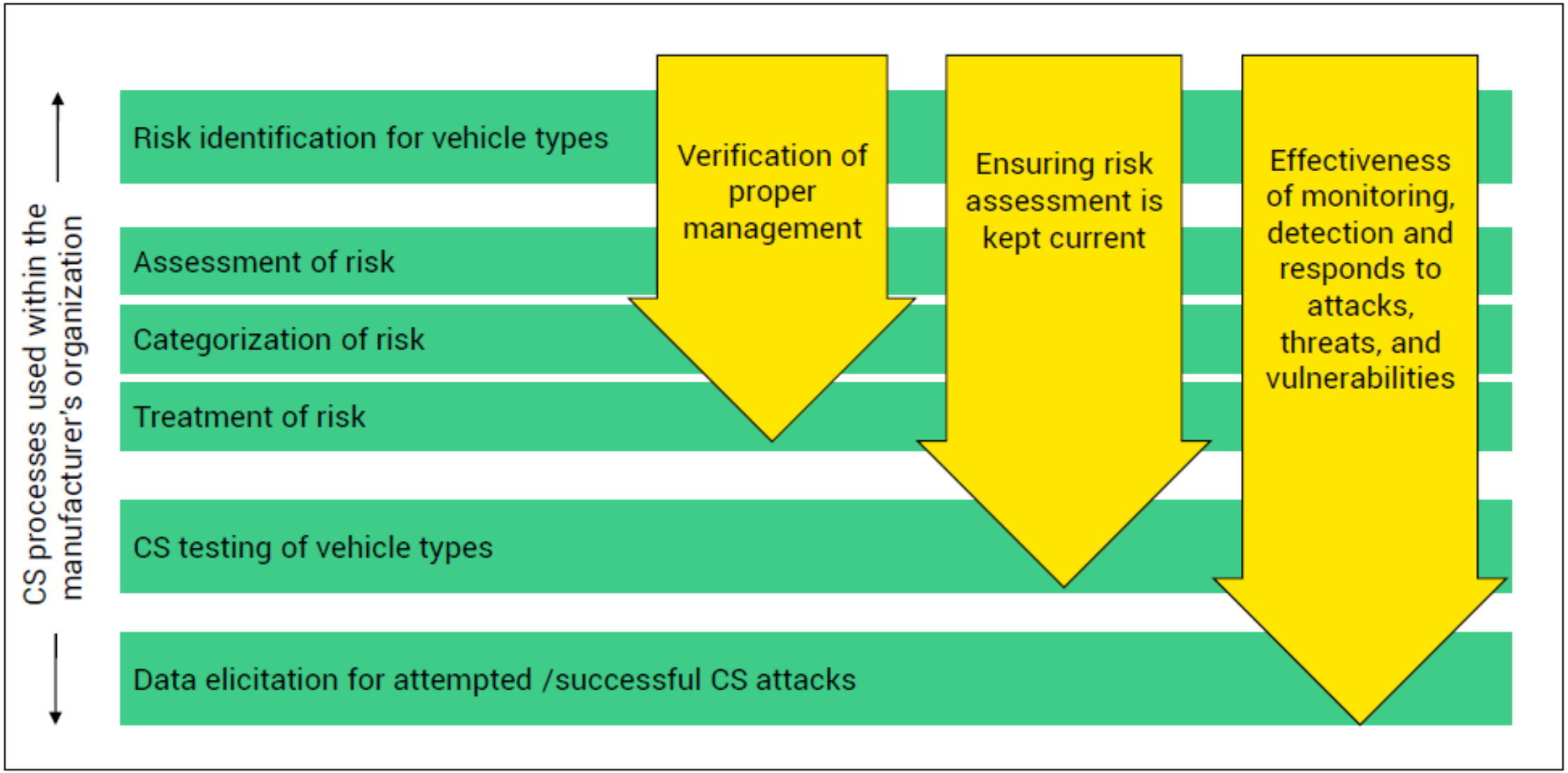


Role of Suppliers and Service Providers

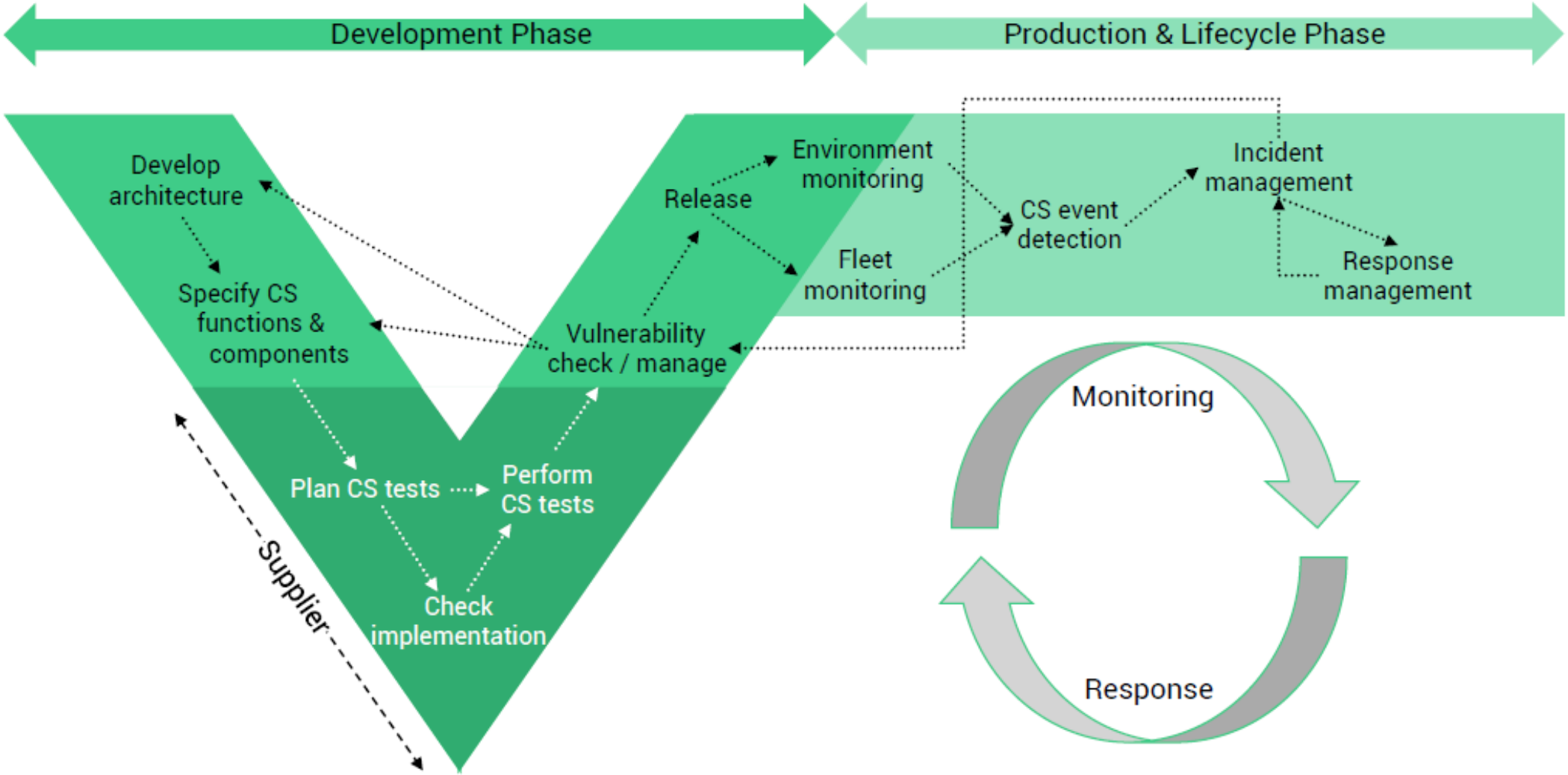
OEMs may require their suppliers to meet all the UNECE regulatory requirements by demonstrating compliance with national/international standard frameworks, which can then be used to demonstrate compliance with the WP.29



Part 1 of R155: CSMS



Part 1 of R155: CSMS Example of OEM CS Processes



Part 2 of R155: CS for a Vehicle Type

For vehicle type approval the vehicle manufacturer (OEM) …

- Shall have a valid certification of his CSMS (July 2024 at the latest)
- Shall identify and manage supplier-related CS risks for the vehicle type
- Shall perform an exhaustive risk assessment for the vehicle type and manage all the identified risks appropriately:
  - ✓ Including individual elements of the vehicle types and their interactions
  - ✓ Including interactions with any external systems (external communication)
  - ✓ Considering a given list of known threats & mitigations (see “Annex 5”) as well as any other relevant risk
  - ✓ Must protect the vehicle type against all identified risks under consideration of the list of all known mitigations (see “Annex 5”)

R155 Requirements Summary

Requirements for CSMS

- CSMS applies all lifecycle phases of a vehicle
- OEM demonstrates process capability within CSMS
- Ability of the OEM to detect and resolve cybersecurity issues and continuous monitoring for all vehicles
- Manage dependencies with suppliers and third party

Requirements for vehicle type

- Managing supplier related risks for the vehicle type approved
- Extensive risk assessment on individual elements of vehicle types
- Appropriate security controls against common attack vectors
- Sufficient testing and verification of effectiveness of security measures
- Process to report outcome of monitoring activities

ISO/SAE 21434

Managing the complexity of cybersecurity requires a common understanding of the following:

- Security engineering
- Clear responsibilities
- Comparable approaches for risk determination and corresponding mitigations
- Similar processes with a high degree of maturity by all parties involved

An international standard for automotive cybersecurity engineering (ISO/SAE 21434) is a basis for common understanding and for limiting the remaining product liability risk.

UNECE Regulation vs. ISO Standard

UNECE: Harmonization of vehicle regulations

- National authorities create laws based on the UNECE documents
- Fulfillment mandatory, by law

ISO: Standardization committee

- Technical reference, basis for common understanding
- "State of Technology" = insurance concerning product liability
- Recommended, but not mandatory
- OEMs force fulfillment in the supply chain

05

What is ASPICE ?

What is ASPICE?

- In 2005 the industry-specific standard Automotive SPICE **(Software Process Improvement and Capability Determination)**, derived from the ISO 15504 International Standard for software process assessments, was published by the Special Interest Group Automotive
- Objective: Improvement of SW-Product Quality
- SW-Product Quality

What is ASPICE?

SPICE

intacs.info
International Assessor Certification Scheme™

- Requirements, Rules, Guidelines and Instructions for Trainings, Certifiers and Assessors
- Working groups
- Support of Domains (e.g. Automotive)
- Verification of compliance (e.g. of Assessments)

ECQA
European Certification & Qualification Association

- Certification of SPICE-Assessors
- Events for SPICE (e.g. SPICE Days)
- GATE4SPICE

Automotive SPICE ®

HIS
Herstellerinitiative Software

- Common steering committee of German Car manufacturers
- Working groups for definition of unique Standards for the Automotive Domain

Audi BMW Group DAIMLER PORSCHE

- Certification of Automotive SPICE ® - Assessor

VDA QMC
Qualitäts Management Center
im Verband der Automobilindustrie

- Trainings provider for SPICE and Automotive SPICE ®

KUGLER MAAG CIE
A BETTER SOFTWARE WORLD

SYNSPACE
Die Prozessmanufaktur

SQS

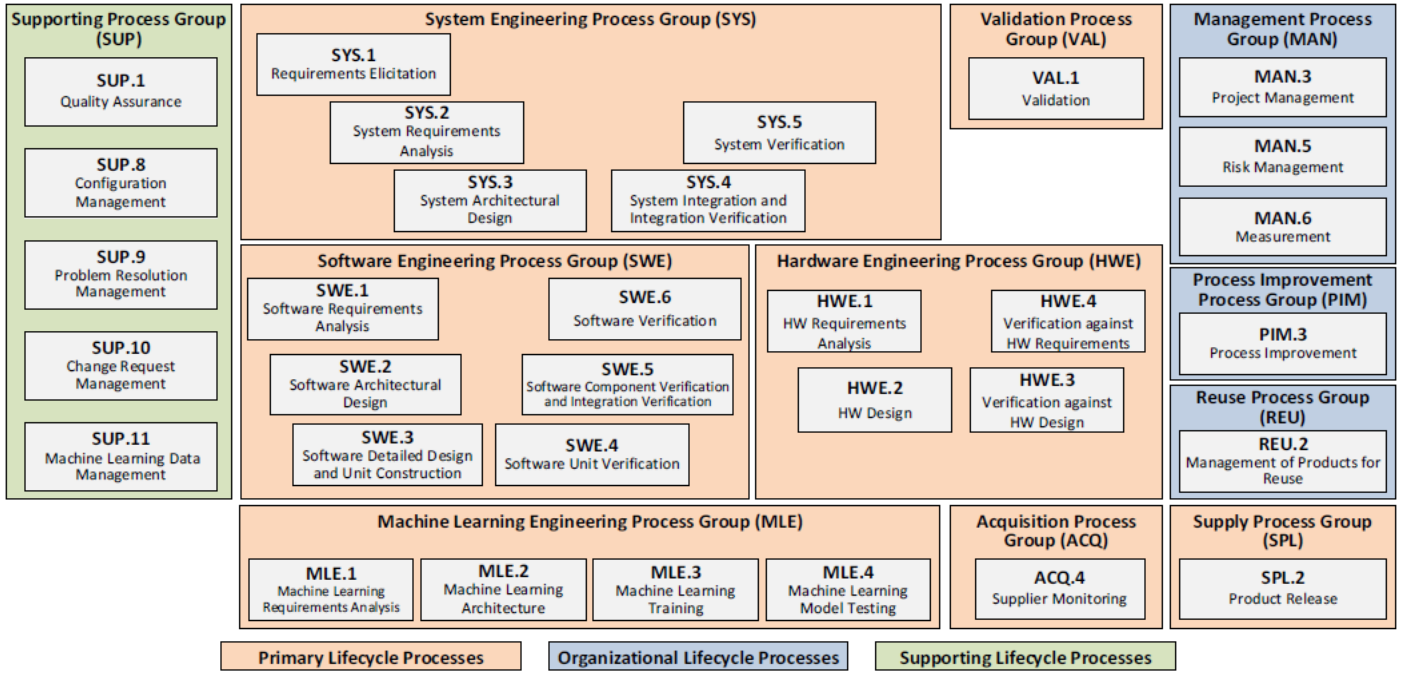
MBtech
Mercedes-Benz technology

- Competence network (Meetings, Workshops, etc.) of the Community
- Working groups

ASQF
Arbeitskreis Software-Qualität und -Fortbildung e.V.

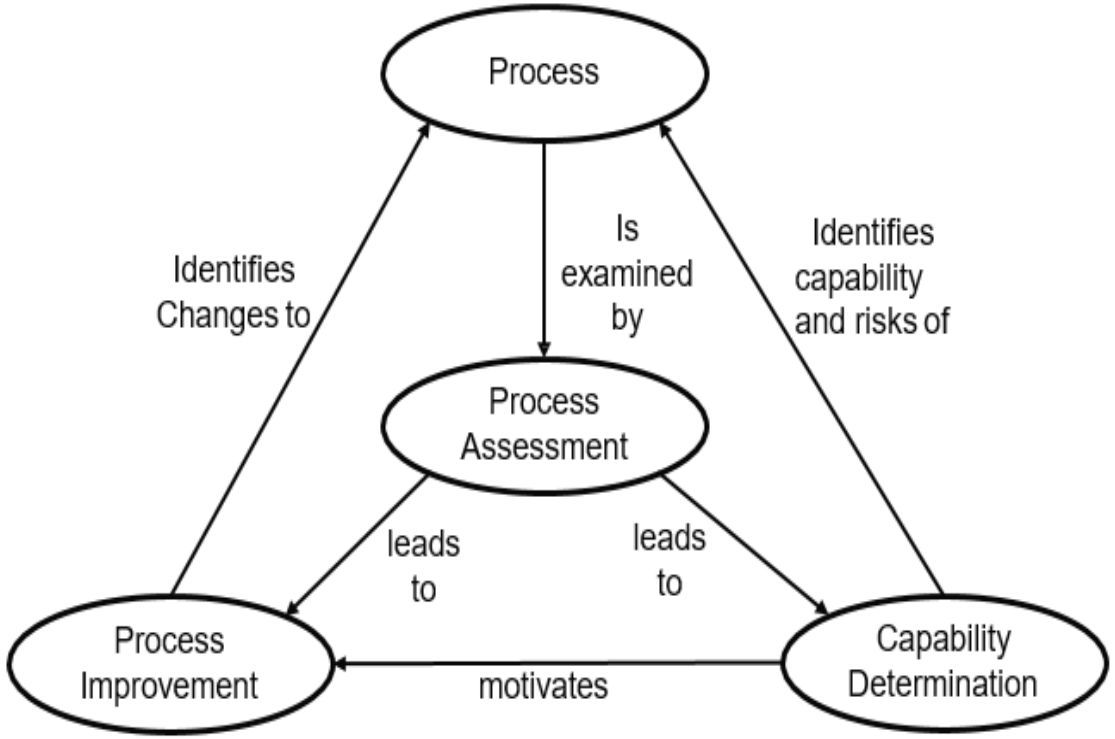
What is ASPICE?

- Collection of best practices to be applied in the development of electronic control units-based software systems *(Process Reference Model)*.
- Contains a set of methods to perform evaluation of processes fulfillment i.e., ASPICE Assessment *(Process Assessment Model)*
- OEMs demand supplier's process capability during RFQs
- OEM / ASPICE Assessments predominantly focus on 16 Key Processes.
  Define the capability level from Level 0 to 5.



ASPICE PRM & PAM

- Collection of best practices to be applied in the development of electronic control units-based software systems.
- Contains a set of methods to perform evaluation of processes fulfillment i.e., ASPICE Assessment.
- 16 Process Areas under VDA scope
- Derived from ISO/IEC 15504 by Automotive SIG.
- Is a trademark of Verband der Automobilindustrie e.V. (VDA).



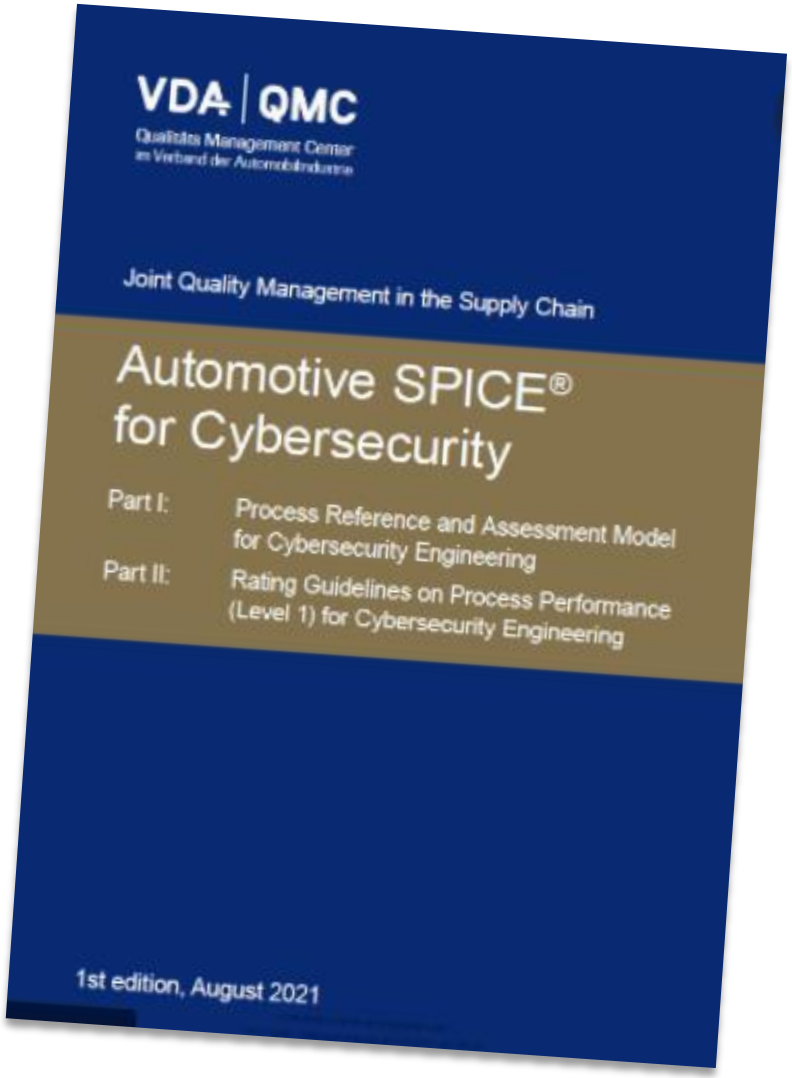
What is ASPICE?

- ASPICE is **not product standard**, i.e. the software is not validated.
- **No methods or tools** are specified or favored.
- ASPICE is not automatically a **process improvement,** but it can be a basis for it.
- ASPICE is also been used for assessments of **system processes** and **organizational maturity.**
- ASPICE provides a **procedure for process assessments.**

06

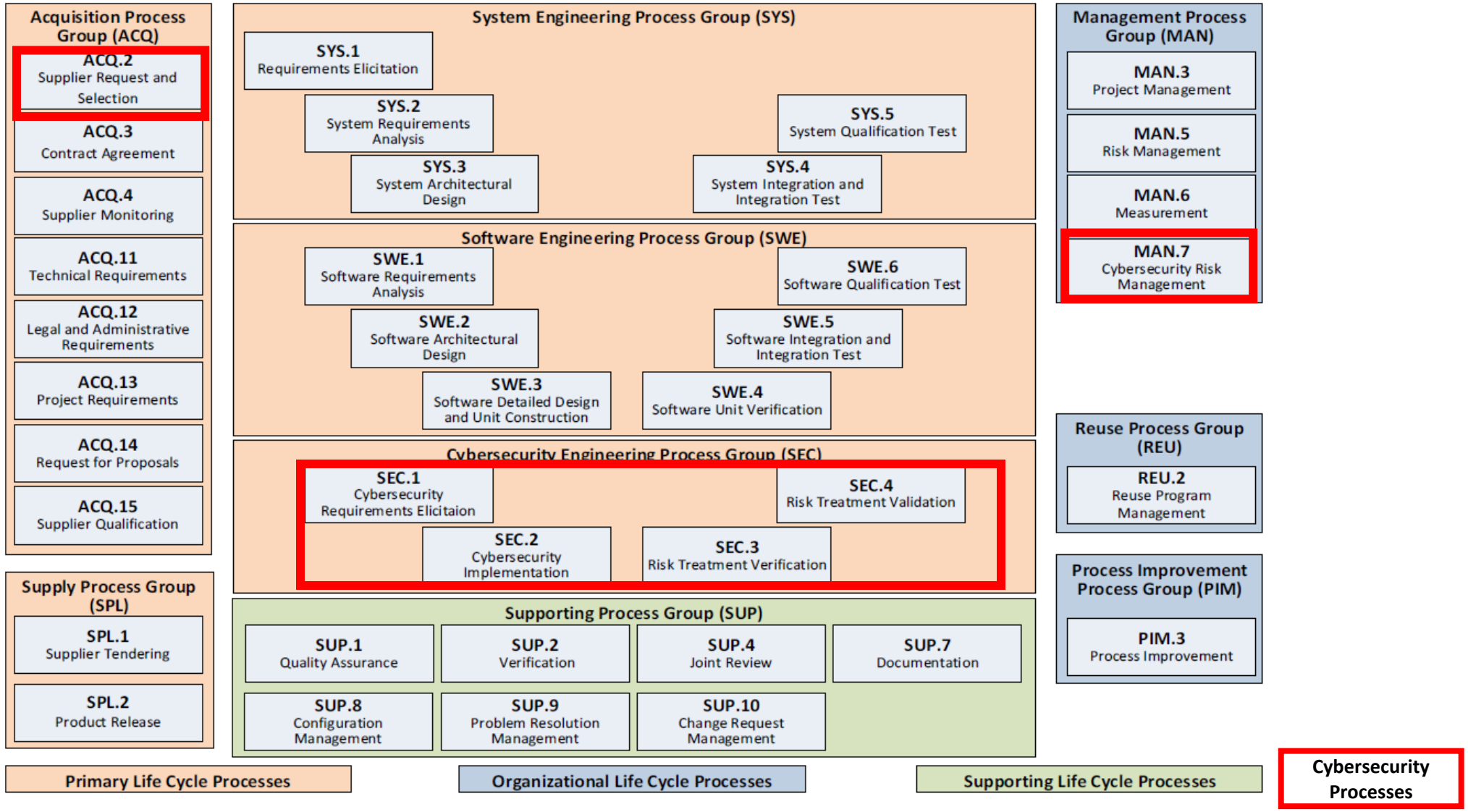
Understanding ASPICE for Cybersecurity

ASPICE for Cybersecurity

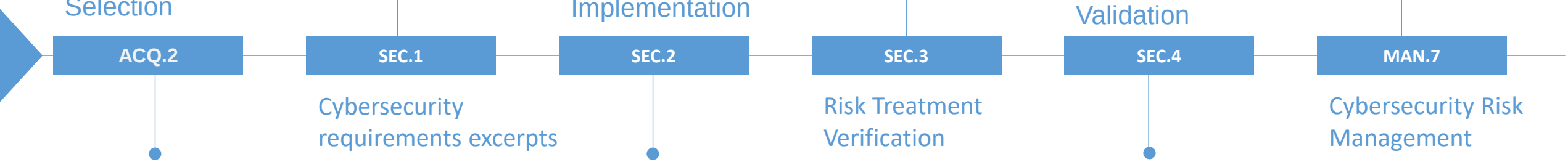


Created to **support UNECE R155** using ASPICE as a proven assessment model.
To **identify process-related product risks** in Cybersecurity projects.
Additional 6 processes have been added specific to Cybersecurity.
Not all parts of ISO/SAE 21434 is covered**; only product development aspects are covered.**
Parts such as cybersecurity management, continuous cybersecurity management, distributed cybersecurity, post development phases are not covered.
The above parts are subject to **cybersecurity management system audit (CSMS).**

ASPICE for Cybersecurity Process Reference Model (PRM)



Brief intro to ASPICE for cybersecurity processes



- Supplier **selection** based on a relevant criteria
- Focus is on cybersecurity **capability**
- Create and issue **RFQs** to potential suppliers

- Derive cybersecurity **goals** and cybersecurity **requirements**

- **Architectural** design, **detailed** design and **implementation** of design

- **Verification** of implementation of design and integration of components
- Focus is **integration** and verification of integration
- Verification techniques: network simulation, reviews, code reviews etc.…

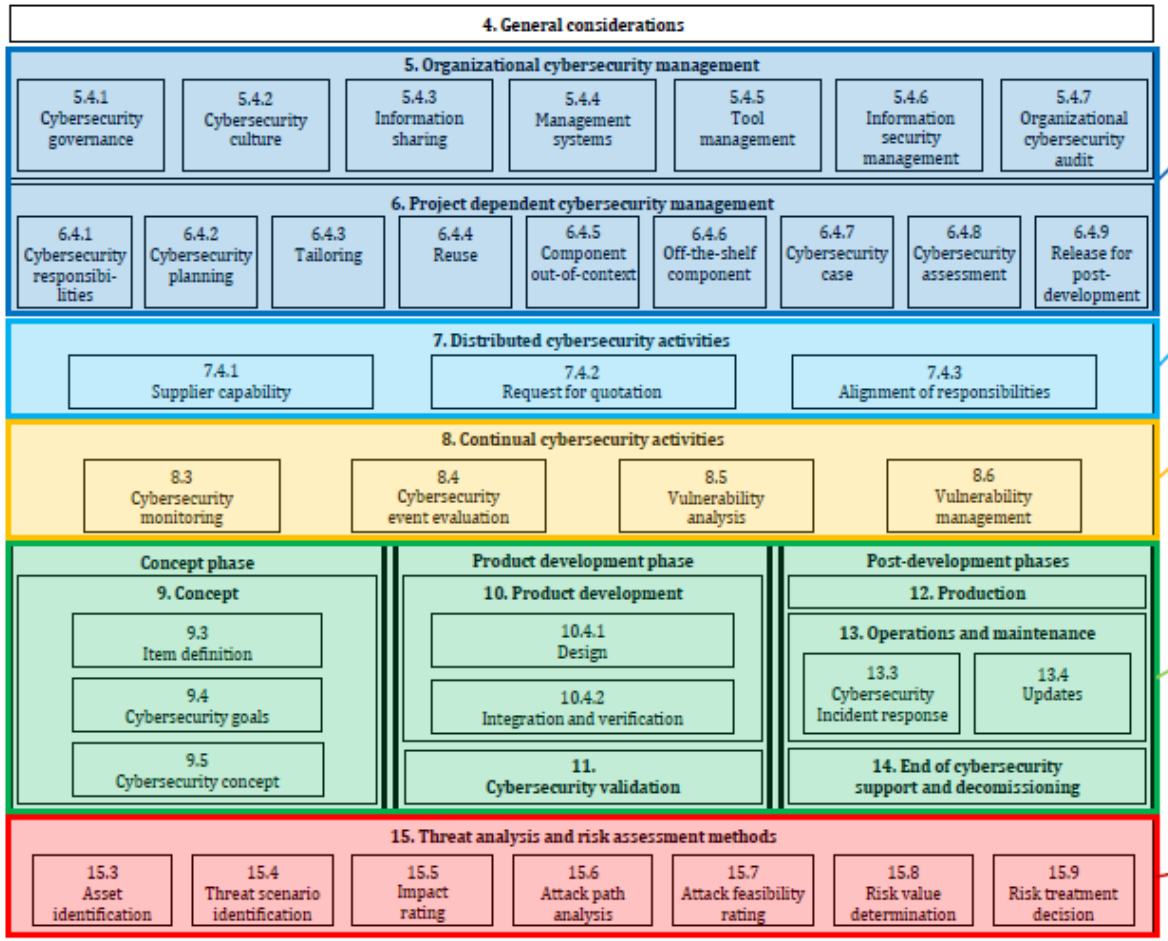
- **Validation** of cybersecurity **goals**
- Validation techniques: Penetrating tests, fuzz tests,
- Focus is to ensure no **unreasonable** risks remain

- Identify, prioritize, analyze **risks** damage
- Define risk treatment to keep, reduce, avoid or share risks
- Techniques: E.g. TARA

07

ASPICE for Cybersecurity vs. ISO/SAE 21434

Structure of ISO/SAE 21434



Overall & project specific management processes (similar to ISO 26262)

- Management systems
- Policies
- Preparation for assessment

Distributed CS activities

- Define interfaces between customer, supplier, third parties.

Continuous CS activities

- Requirements for continuous monitoring of CS relevant information
- Framework for analysis and management of vulnerabilities

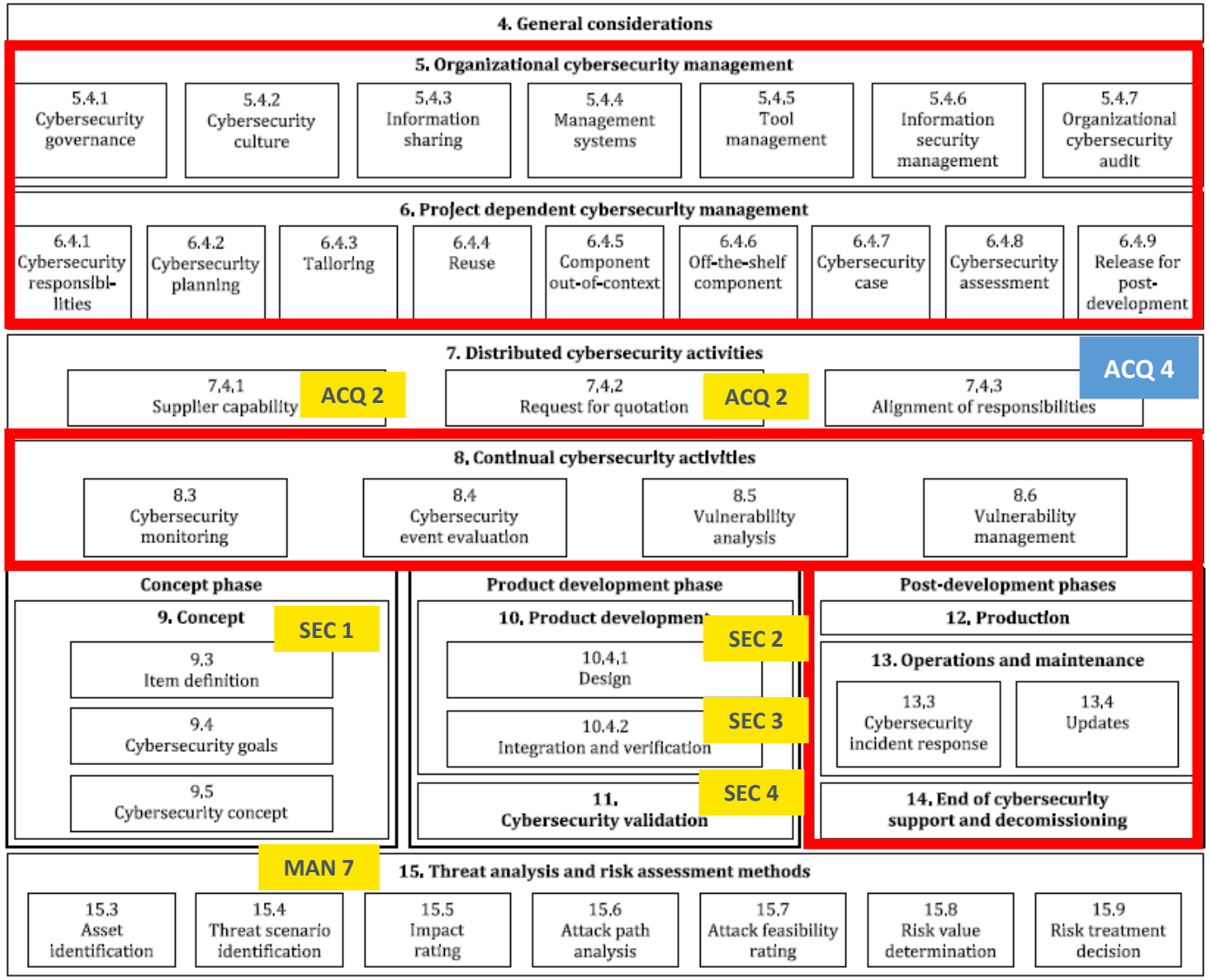
Concept, development and post-development

- Add-on of CS relevant activities during concept and development
  - Establishment of CS goals and requirements
  - TARA and vulnerability analysis during development
- Consideration of post-development requirements (during or after production, decommissioning …)
- Definition of post-development processes (production, incident response, update)

TARA (Threat Analysis and Risk Assessment)

- Describes the steps to perform a robust risk analysis on the system
- Complex process to be performed multiple times and for multiple assets

On top of ISO/SAE 21434



NOT in scope ASPICE for Cybersecurity PAM

ASPICE for Cybersecurity

ASPICE PAM 3.1

ACQ2. Supplier request and selection

ACQ.4 Supplier monitoring (PAM 3.1)

SEC.1 Cybersecurity requirements elicitation

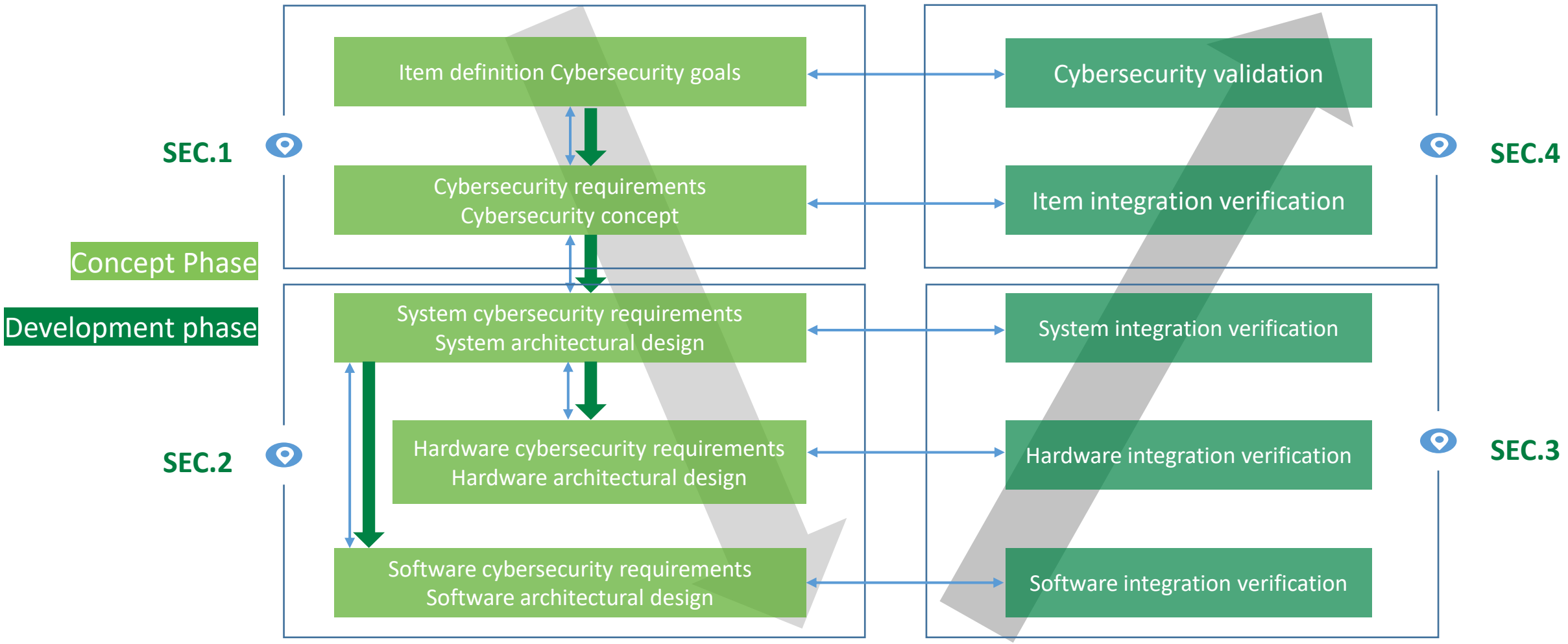
SEC.2 Cybersecurity implementation

SEC.3 Risk treatment verification

SEC.4 Risk treatment validation

MAN.7 Cybersecurity risk management

Mapping to V Cycle



08

ISO/SAE 21434 vs. ISO 26262

Relationship between FuSa and Cyber-Security

Item	Functional Safety	Cyber-Security
Focus	系統安全的目的是通過分析潛在安全風險使得在系統設計時建立安全機制解決安全風險或降低由安全風險引起的危害。	由攻擊者引起的潛在威脅其目的是造成危害、獲取經濟或其他利益、或僅是得到名聲。
response to identified threats	分析人員相對易於識別潛在危害，並採取合適的行為消除潛在的後果。	潛在威脅是故意的、有計劃的，比潛在危害難處理。需要分析人員像駭客一樣思考，預測攻擊者行為，幫助分析人員知道網絡安全保護免於受到駭客可能攻擊的行為。
statistics	用於可接受的風險等級	大量的未知資訊難以統計
Additional factors	不需考慮額外因素	需要考慮的額外因素包括：攻擊者獲取的知識（私人途徑或者公眾途徑），攻擊者的經驗水準，從攻擊者獲取進入系統的途徑，攻擊者必備的特殊裝備等
Analysis method	FTA, FMEA, FMEDA	攻擊樹分析 (ATA)
In the implementation and verification/validation stages, static code analysis	靜態代碼分析被用於幫助識別直接影響基礎功能的程式錯誤	靜態代碼分析被用來識別代碼中潛在網絡安全性漏洞。從安全角度看，合法或者正確的代碼可能仍然會有網絡安全性漏洞。
verification/validation methods	故障注入測試	攻擊（漏洞）測試或者滲透測試

Relationship between FuSa and Cyber-Security

Item	Operational Situation	Threat Mode	Threat Effect	Impact	Threat Cause	Exposure	CAL	Cybersecurity Goal	
Airbag System	Highway Driving	Unintended Deployment due to a cyber attack	Severe Injury,Death	Severe	Hacking OBD-II port & CAN message	Medium	4	Prevent unauthorized activation of airbag	
Item	**Asset**	**Damage Scenario**	**Impact**	**Threat Scenario**	**Vulnerability**	**Attack Paths**	**Attack Feas . Rating**	**Risk Level**	**Risk Treatment(Preventive Design Changes, Diagnostics, or CS Mechanisms**
Airbag System	Deployment message to Airbag control unit (authentication, integrity)	Airbag deploys at high speed causing car to crash.	Severe	Unauthorized access to OBD to Airbag control unit link	Weak strength of key (16 bit)	OBD to CAN bus to Airbag control unit	High	5	Increase key length (64 bit or 128 bit...)
Item	**Hazard**	**Operational Situation**	**Damage Scenario**	**severity**	**Exposure**	**Controllability**	**ASIL**	**Safety state**	**Safety Goal**
Airbag System	Unintended Deployment	Highway Driving	Airbag deploys at high speed causing car to crash.	S3	E4	C3	D	switched off the airbag system	Unintended airbag deployment shall be avoided

ISO 21434

Asset:一般會包硬體、軟體及機密資訊需要加以保護，避免非法存取、使用、揭露、更改、破壞或是被竊，也避免造成的損失

ISO 26262

DEKRA 優勢

全台唯一能提供車用客戶完整解決方案

AUTOMOTIVE SPICE® | ASPICE for Cyber-Security | TISAX® | ISO 24089 | ISO 26262 | ISO/SAE 21434 Road vehicles — Cybersecurity Engineering | ISO/PAS 21448

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- **一次性全面導入**整合方案讓客戶同時符合ISO 26262, ISO/PAS 21448, ASPICE, ASPICE for Cyber-Security and ISO/SAE 21434流程
- **全台唯一同時擁有ASPICE首席/主任評估師**團隊 Automotive SPICE汽車產業軟體開發流程評估
- **全本土化顧問/稽核團隊**協助貴公司用最快速度導入流程並取得**德國 DAKKS 證書**

Training → Gap Analysis → Consulting → Testing → Certification

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Contact Information

DEKRA 聯絡我們 | DEKRA德凱

DEKRA
www.dekra.com.tw

Line@
@dekra.taiwan

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